# Keith A. Preble

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### **EMPLOYMENT**

2022- present	Visiting Assistant Professor of Political Science in International Relations, Miami University (Ohio)	
2022- 2024	Postdoctoral Researcher, Program on International Security, Commerce, and Economic Statecraft (PISCES), Center for Policy Research, University at Albany, SUNY	
2019-22	Adjunct Professor of Political Science, University at Albany, SUNY	
EDUCATION		
2021	Ph.D., Department of Political Science, University at Albany, State University of New York  Dissertation: <i>Economic Sanctions and Opportunism</i> Committee: Bryan R. Early (Advisor & Chair), Zsófia Barta, Brian Greenhill Defense Date: November 17, 2021; Degree Awarded: December 18, 2021	
2017	M.A., School of Professional Studies, Department of Public Policy and Administration, Northwestern University  Thesis: Abandoning Policies of Isolation and Hostility: A Punctuated Equilibrium Model of Foreign Policy Change in U.S. Foreign Relations	
2015	M.A., Department of Government and Politics, St. John's University, Rome, Italy Thesis: Italy and the Suez Canal Crisis (1955-1956): Foundations of a Multilateralist Foreign Policy	
2015	Advanced Certificate in International Law and Diplomacy, Department of Government and Politics, St. John's University, Rome, Italy	
2003	B.A., Department of Art History, Classics, and Archaeology, University of Melbourne	

# **PUBLICATIONS**

# **Books**:

Under Contract. Keith A. Preble. 2026. Smart Evasion and the Global Resistance to US Economic Sanctions. Boulder, CO: Lynne Rienner Publishers.

Keith A. Preble and Charmaine N. Willis. 2024. *Trading with Pariahs: Trade Networks and The Failure of Economic Sanctions*. Lanham, MD: Lexington Books.

https://rowman.com/ISBN/9781666903737/Trading-with-Pariahs-Trade-Networks-and-the-Failure-of-Economic-Sanctions

# Articles (Peer-Reviewed):

- **Open access:** Keith A. Preble and Charmaine N. Willis. 2024. "Trading with Pariahs: North Korean Sanctions and the Challenge of Weaponized Interdependence." *Global Studies Ouarterly*. https://academic.oup.com/isagsg/article/4/2/ksae031/7668102
- **Open access:** Beata Stępień, Bryan R. Early, Julia Grauvogel, Keith A. Preble, and Szymon Truskolaski. 2024. "The impact of external pressure on companies' responses to sanctions an international comparative study." *European Journal of Criminal Policy and Research* (Special Issue on economic sanctions). <a href="https://doi.org/10.1007/s10610-024-09576-y">https://doi.org/10.1007/s10610-024-09576-y</a>
- **Open access:** Keith A. Preble and Bryan R. Early. 2023. "Enforcing Economic Sanctions by Tarnishing Corporate Reputations." *Business and Politics* 26(1): 102-123. <a href="https://doi.org/10.1017/bap.2023.22">https://doi.org/10.1017/bap.2023.22</a>
- Keith A. Preble. 2023. "'Just Right': The Goldilocks Theory of Sanctions Busting's Causes." *Foreign Policy Analysis* 19(4): 1-23. https://doi.org/10.1093/fpa/orad020
- Bryan R. Early and Keith A. Preble. 2020. "Going Fishing Versus Hunting Whales: Explaining Variation in the United States' Enforcement of Economic Sanctions." *Security Studies* 29(2): 231-67. https://doi.org/10.1080/09636412.2020.1722850
  - Accompanying data set (updated annually): "OFAC Enforcement Action Data Set version 4.0"
     <a href="https://dataverse.harvard.edu/dataset.xhtml?persistentId=doi:10.7910/DVN/TIFRT">https://dataverse.harvard.edu/dataset.xhtml?persistentId=doi:10.7910/DVN/TIFRTTT</a>

### **Book Chapters:**

- Forthcoming: Keith A. Preble. 2025. "Sanctions evasion." Elgar Encyclopedia of International Sanctions. Clara Portela, Mirko Sassai, and Andrea Charron, eds. Edward Elgar.
- Forthcoming: Keith A. Preble. "Why INSTEX and not something else? Understanding the development of INSTEX in response to US secondary sanctions." <u>Economic sanctions under international law: trade continuity with special purpose vehicles</u>, P. Sean Morris, ed. Routledge.
- Keith A. Preble. 2024. "A Farewell to Arms': Arms Embargo Busting and the Challenges of Arms Export Harmonization in the European Union." *International (unilateral) sanctions in an interdisciplinary perspective: legal, economic-financial, and political implications.*Antonio Bultrini, Mirko Sossai, Francesco Giumelli, and Clara Portela, eds.
  Routledge/Giappichelli. <a href="https://www.routledge.com/International-Sanctions-in-Practice-">https://www.routledge.com/International-Sanctions-in-Practice-</a>

- <u>An-Interdisciplinary-Perspective/Bultrini-Giumelli-Portela-</u> Sossai/p/book/9781032481302
- Bryan R. Early. and Keith A. Preble. 2024. "For Fear or Positive PR? Exploring Firms' Self-Sanctioning Responses to Ukraine's Invasion." In *The Effect of Economic Sanctions* within the Russia-Ukraine Conflict. Morad Bali, ed. Hauppauge, NY: Nova Science Publishers.
- Keith A. Preble. 2023. "Competition, Harmonization, and Security Dilemmas: Europe's Contribution to the Arms Race in the Middle East." *Arms Race in the Middle East: Contemporary Security Dynamics*. Mohammad Eslami and Alena Vysotskaya Guedes Vieira, eds. Springer Verlag. <a href="https://link.springer.com/book/9783031324314">https://link.springer.com/book/9783031324314</a>
- Bryan R. Early and Keith Preble. 2021. "Grand Strategy and the Tools of Economic Statecraft." *Oxford Handbook of Grand Strategy*. Thierry Balzacq and Ronald R. Krebs, eds. Oxford: Oxford University Press. https://10.1093/oxfordhb/9780198840299.013.24

### **Under Review:**

- Crystal D. Pryor and Keith A. Preble. "Tracking the implementation of strategic trade controls." *Conflict Management and Peace Science.*
- Bryan R. Early, Julia Grauvogel, Keith A. Preble, Beata Stępień, and Szymon Truskolaski. "Why do citizens support sanctioning Russia and expect firms to follow suit?" *World Economy*.
- Keith A. Preble, Charmaine N. Willis, and Joshua Turner. "Profiting like a Pariah: Mapping DPRK illicit activities." *Journal of Peace Research*.
  - o Research Note and Data Set of illegal DPRK trade for social network analysis.
- Charmaine N. Willis and Keith A. Preble. "With Friends like These: The Impact of US Troop Deployments on Economic Sanctions Busting." *Journal of Conflict Resolution*.

# **Policy Scholarship:**

- Keith A. Preble. 2024. "Target state adaptation and patterns of trade." In *Strengthening UK Sanctions Implementation and Enforcement*, Bryan R. Early and Jordan Tama, Eds. Wilton Park Dialogue, January 17-19, 2024.
- Keith A. Preble (with Crystal Pryor et al.). "The Economic Benefits of STC Implementation for Southeast Asia: A Study of Trade and Foreign Direct Investment." *Pacific Forum*.
- Bryan R. Early and Keith A. Preble. "For Fear or Positive PR? Exploring Firms' Self-Sanctioning Responses to Ukraine's Invasion." *Bridging the Gap Workshop*, September 22-23, 2022, Sanctions and the War in Ukraine: Lessons Learned
- Keith A. Preble. 2022. "Sanctions Run Amok The Undermining of US Power." *Fletcher Security Review*.

- https://www.fletchersecurity.org/\_files/ugd/c28a64\_ba5bb2b757ad48d5bb8bdf3c3cd923la.pdf
- Keith A. Preble. 2022. "'If You Can't Beat 'em, Join 'em': An Argument for US Support of and Participation in INSTEX." Global Trade and Customs Journal 17(9): 364-369.
- Keith A. Preble and Bryan R. Early. 2022. <u>"Eliminating sanctions on the Taliban won't solve</u>
  Afghanistan's deepening humanitarian crisis." *War on the Rocks*.
- Keith A. Preble. November 2021. "Sanctions Reform Should Start with the Treasury Office Enforcing Them." War on the Rocks.
- Kolja Brockmann and Keith A. Preble. September 2021. "<u>Mitigating Humanitarian Impact in a Complex Sanctions Environment: The European Union and the Sanctions Regimes against Iran.</u>" Stockholm International Peace Research Institute (SIPRI).
- Bryan R. Early and Keith A. Preble. February 2021. "<u>The Past, Present, and Future of U.S.</u> Sanctions Enforcement." *War on the Rocks*.
- Crystal Pryor, Mason Venturo, Timothy Halliday, Eugenio Benincasa, Mark Bryan Manantan, Jason Lowe, Keith A. Preble, Maxwell Baker. October 2020. "The Economic Benefits of STC Implementation for Southeast Asia." *Pacific Forum*.
- Bryan R. Early. and Keith A. Preble. March 2020. "Enforcing US Economic Sanctions: Why Whale Hunting Works." Washington Quarterly 43(1): 159-175. https://doi.org/10.1080/0163660X.2020.1736881

### Public Scholarship:

- Keith A. Preble and Charmaine N. Willis. 2024. "How Russia has managed to shake off the impact of sanctions with a little help from its friends." *The Conversation*.
- Willis, Charmaine N. and Keith A. Preble. 2024. "3 years on from the coup, economic sanctions look unlikely to push Myanmar back to democracy." *The Conversation*.
- Bryan R. Early. and Keith A. Preble. 2019. "Trends in U.S. Sanctions Enforcement During the <u>Trump Administration."</u> Compliance & Enforcement Blog, NYU Law's Program on Corporate Compliance and Enforcement.
- Keith A. Preble. and Bryan R. Early. 2018. "Sanctions Busting': The Risks and Rewards to Those Trying to Circumvent the System." SanctionsAlert.com. June 15.
- Bryan R. Early and Keith A. Preble. 2017. "America needs Europe's help with sanctions. It may not get it." Monkey Cage/Washington Post. August 24.

# **Working Papers:**

- (with Victor Ferguson) "Dynamics of auto-busting by prominent senders of economic sanctions."
- (with Bryan R. Early and Timothy Peterson) "Impact of sanctions enforcement on media reporting and media audiences"
- "Caught in the Middle: The Effects of Not-Quite-Sanctions Busting Trade."
- "The "Race Away from Brussels" and the Efficacy of Arms Embargoes."
- "Cooperative Interactions and Complementary Interests: The Effects of Intra-Industry Trade on Sanctions Busting."
- "Affordable Adjustments and Exploitable Opportunities: The Search for Alternatives to Sanctions Busting Trade."
- "Two's company, three's a crowd': the logic of three- and four-level games in Southeast Asia."

### **COURSE PORTFOLIO (modality)**

\* Courses taught at Miami University; + Courses taught at UAlbany

# **Undergraduate Courses:**

- \*Introduction to International Relations (in person)
- \*Introduction to Comparative Politics (in person)
- +Introduction to US Politics (in person; asynchronous online)
- +Introduction to Homeland Security (asynchronous online)
- \*Politics of Economic Statecraft (in person)
- \*Politics of the Middle East and North Africa (in person)
- \*Politics of Weapons of Mass Destruction (in person) (undergraduate capstone course)
- \*International Law (in person; asynchronous online)
- \*Global Governance (in person)
- +International Organizations (in person; hybrid)
- +European Politics (in person; hybrid; asynchronous online)
- +American Foreign Policy (in person; hybrid, asynchronous online)
- \*Rising China in World Politics (in person)
- +Social Science Research Methods & Writing (in person; hybrid)

# **Graduate Courses:**

\*Politics of Weapons of Mass Destruction (in person)

# CONFERENCE PAPERS, PRESENTATIONS, AND WORKSHOPS

- Early, Bryan, R., Timothy Peterson, and Keith A. Preble. "Why some sanctions enforcement actions attract or fail to attract media attention." American Political Science Association Annual Meeting, Philadelphia, PA, September 4-7, 2024.
- Early, Bryan R., Julia Grauvogel, and Keith A. Preble. "Comparatively analyzing citizens' responses to sanctioning Russia." International Studies Association Annual Meeting, San Francisco, CA, April 3-6, 2024.
- Preble, Keith A. "Networks of harmonization and EU arms competition." International Studies Association Annual Meeting, San Francisco, CA, April 3-6, 2024.
- Willis, Charmaine N. and Keith A. Preble. "Bases and Busting." American Political Science Association Annual Meeting, Los Angeles, CA, August 28-September 3, 2023.
- Preble, Keith A. "Rethinking the Art of Sanctions Busting." American Political Science Association Annual Meeting, Los Angeles, CA, Aug. 28-Sept. 3, 2023.
- Preble, Keith A. "Caught in the Middle: The Effects of Not-Quite-Sanctions Busting Trade." International Studies Association Annual Meeting. Montreal, Canada, March 15-18, 2023. Junior Scholar Symposium.
- Preble, Keith A. and Joshua Turner. "Outmaneuvering and outsmarting US economic sanctions: direct and indirect sanctions evasion." International Studies Association Annual Meeting. Montreal, Canada, March 15-18, 2023.
- Preble, Keith A. and Charmaine N. Willis. "When Weaponized Interdependence Falters: The Case of Sanctions against Myanmar and Cuba." Southwest Mixed Methods Research Conference. University of Notre Dame, October 27-28, 2022.
- Preble, Keith A. and Charmaine N. Willis. "Making Weaponized Interdependence Work: The Case of (Modestly) Effective Sanctions on Iran." PolNET, University of Iowa (Virtual Conference). July 14, 2022.
- Preble, Keith A. "Caught in the Middle: The Effects of Not Quite Sanctions Busting Trade." American Political Science Association Annual Meeting Montreal, Canada, September 15, 2022.
- Preble, Keith A. "Cooperative Interactions and Complementary Interests: The Effects of Intra-Industry Trade on Sanctions Busting." Paper presented at the International Studies Association Annual Meetings, Nashville, TN, April 2022.
- Preble, Keith A. and Bryan R. Early. "Enforcing Economic Sanctions by Tarnishing Corporate Reputations." Paper presented at the International Studies Association Annual Meetings, Nashville, TN, April 2022.
- Preble, Keith. "A Farewell to Arms: Arms Embargo Busting and Arms Control in Europe and the European Union." International (Unilateral) Sanctions in a Multidisciplinary Perspective: Legal, Economic-Financial, and Political Implications. Università degli Studi Firenze, Italy, 9-10 December 2021.
- Preble, Keith A. "Economic Sanctions and Self-Interest: The Role of Sanctions Busting Trade at the Industry Level." Paper presented at the International Studies Association Northeast.

- Virtual Conference, November 2021.
- Willis, Charmaine N. and Keith A. Preble. "Making Weaponized Interdependence Work: The Case of the Myanmar Sanctions Regime." Paper presented at the International Studies Association Northeast. Virtual Conference, November 2021.
- Preble, Keith A. "Farewell to Arms: Arms Embargo Busting and Arms Control in the European Union." Paper presented at the American Political Science Association Annual Meeting, Seattle, WA, September 2021
- Preble, Keith A. and Charmaine N. Willis. "Trading with Pariahs: North Korea and Economic Sanctions." Paper presented at the New York State Political Science Association Annual Meeting, Virtual, April 2021.
- Preble, Keith A. and Charmaine N. Willis. "Trading with Pariahs: North Korea and Economic Sanctions." Paper presented at the International Studies Association Annual Meeting, Virtual, April 2021.
- Preble, Keith A. "Not All Trade is Created Equal: Understanding Sanctions Busting in the European Union." Paper presented at the American Political Science Association Annual Meeting, San Francisco, CA, September 2020 (Virtual).
- Preble, Keith A. "Three-level games in Southeast Asia." Paper presented at the Canadian Council for Southeast Asian Studies, Montreal, Canada, October 2019.
- Preble, Keith A. and Zheng Wang. "Cross-Cutting Cleavages and Democratization." Paper presented at the American Political Science Association Annual Meeting, Washington DC, September 2019.
- Preble, Keith A. "The Effects of Intra-EU Trade on Sanctions Busting Behavior." Paper presented at the American Political Science Association Annual Meeting, Boston, MA, September 2019.

# **RESEARCH ACTIVITIES**

- 2022- Research and Methods Consultant, Pacific Forum.
  - Currently working on a project that explores how strategic trade management (STM) systems impact trade and foreign direct investment inflows, which is part of a larger initiative on promoting strategic trade controls in Southeast Asia.
- Guest Researcher, Stockholm International Peace Research Institute (SIPRI)

  Served as a consultant on economic sanctions and international trade for a project exploring humanitarian outcomes in Iran.
- 2019 Cooperative Threat Reduction (CTR) Fellow
  Participated in Department of State funded open-source research and analysis on

foreign governments' policies related to biological, chemical, nuclear weapons, and materials; also assessed progression of global nonproliferation norms and practices to secure potential weapons of mass destruction.

#### OTHER EDUCATION AND TRAINING

2021	North Korea Program, George Washington Institute for Korean Studies (GWIKS)
2019	IQMR, Syracuse University, Syracuse, NY
2018	ICPSR Summer Program, University of Michigan, Ann Arbor

### OTHER PROFESSIONAL EXPERIENCE

2022-23	Study Group Leader, BOLLI, Brandeis University (with Charmaine N. Willis)
2019-	Associate Editor, Cambridge Series on Politics and Society in Southeast Asia
2015-16	Operations & Program Assistant, Securities and Exchange Commission, Boston MA
2015	Claims Processor and Assistant, Social Security Administration, Holyoke, MA
2005-12	Library Acquisitions Assistant, Duke University, University Library

#### **GRANTS AND FUNDING AWARDS**

- 2023 (with Beata Stepien, Bryan R. Early, and Julia Grauvogel) NAWA Grant (Poland), \$40,000, to support survey research on consumers and firms in USA, Germany, and Poland to explore the effects of Russian sanctions.
- American Political Science Association Spring Centennial Center Research Grant in support of "Trading with Pariahs: The Failure of Economic Statecraft in a Weaponized Interdependent World" (with Charmaine N. Willis), \$2500
- First Generation Scholars Grant, American Political Science Association, \$250

### AWARDS AND SCHOLARSHIPS

- Nomination, Associated Student Government for Outstanding Professor Award for 2023/2024 Academic Year, Miami University
   Rockefeller College Distinguished Doctoral Dissertation Award
- 2019 Institute for Qualitative and Multi-Method Research, Common Pool, Syracuse University

Rockefeller College Outstanding Student Leadership Award

- 2019 Rockefeller College Advisory Board Scholarship (\$1000)
- 2018 Scholarship for Political Science Research, ICPSR

#### PROFESSIONAL SERVICE

2019

Provided peer review of works for the following academic journals: European Journal of International Security, Review of International Organizations, Journal of Peace Research, Global Governance, International Affairs, and Foreign Policy Analysis.

# PROFESSIONAL MEMBERSHIPS

American Political Science Association (APSA) International Studies Association (ISA) Peace Science Society (PSS)

# DATA ANALYSIS AND PROGRAMMING SKILLS

Stata
 LaTeX

• R • Python

### LANGUAGE PROFICIENCY

- English, native speaker
- Italian, fluent
- Spanish, reading comprehension
- German, reading comprehension